

EL504269803US

PTO/SB/05 (06-03)

Approved for use through 07/31/2003. OMB 0651-0032

U.S. Patent and Trademark Office. U.S. DEPARTMENT OF COMMERCE

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**UTILITY
PATENT APPLICATION
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. PA2268US

First Inventor Lisa Macalka

Title System and Method for Utilizing ...

Express Mail Label No. EL504269803US

U.S. PTO
10/648504
08/25/03

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. Applicant claims small entity status.
See 37 CFR 1.27.
3. Specification [Total Pages 20]
(preferred arrangement set forth below)
 - Descriptive title of the invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. Drawing(s) (35 U.S.C. 113) [Total Sheets 13]
5. Oath or Declaration [Total Sheets]
 a. Newly executed (original or copy)
- b. Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 18 completed)
- i. **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s) name in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. Application Data Sheet. See 37 CFR 1.76

Mail Stop Patent Application
Commissioner for Patents
P.O. Box 1450
Alexandria VA 22313-1450

7. CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
 - a. Computer Reader Form (CRF)
 - b. Specification Sequence Listing on:
 - i. CD-ROM or CD-R (2 copies); or
 - ii. Paper
 - c. Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. Assignment Papers (cover sheet & document(s))
10. 37 CFR 3.73(b) Statement Power of (when there is an assignee) Attorney
11. English Translation Document (if applicable)
12. Information Disclosure Statement (IDS)/PTO-1449 Copies of IDS Citations
13. Preliminary Amendment
14. Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
15. Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. Other: Check for \$936.00.....

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in the first sentence of the specification following the title, or in an Application Data Sheet under 37 CFR 1.76:

Continuation Divisional Continuation-in-part (CIP) of prior application No.

Prior application information:

Examiner _____ Art Unit: _____

For CONTINUATION OF DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS Customer Number:

22830

OR

 Correspondence address below

Name _____

Address _____

City _____

State _____

Zip Code _____

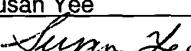
Country _____

Telephone _____

Fax _____

Name (Print/Type) Susan Yee

Registration No. (Attorney/Agent) 41,388

Signature 

Date 8/25/2003

This collection of information is required by 37 CFR 1.53(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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08/25/03

14230 U.S. PTO

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PTO/SB/17 (05-03)

Approved for use through 04/30/2003. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

FEE TRANSMITTAL for FY 2003

Effective 01/01/2003. Patent fees are subject to annual revision.

 Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ 936.00)

Complete if Known

Application Number	Unknown
Filing Date	August 25, 2003
First Named Inventor	Lisa Macalka
Examiner Name	Unknown
Art Unit	Unknown
Attorney Docket No.	PA2268US

METHOD OF PAYMENT (check all that apply)				FEE CALCULATION (continued)			
<input checked="" type="checkbox"/> Check <input type="checkbox"/> Credit card <input type="checkbox"/> Money Order <input type="checkbox"/> Other <input type="checkbox"/> None <input checked="" type="checkbox"/> Deposit Account: Deposit Account Number 06-0600 Deposit Account Name Carr & Ferrell, LLP				3. ADDITIONAL FEES Large Entity Small Entity			
The Director is authorized to: (check all that apply) <input checked="" type="checkbox"/> Charge fee(s) indicated below <input checked="" type="checkbox"/> Credit any overpayments <input type="checkbox"/> Charge any additional fee(s) during the pendency of this application <input type="checkbox"/> Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.				Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
1. BASIC FILING FEE				1051	130	2051 65 Surcharge - late filing fee or oath	
Large Entity Small Entity				1052	50	2052 25 Surcharge - late provisional filing fee or cover sheet	
Fee Fee Fee Description				1053	130	1053 130 Non-English specification	
1001 750 2001 375 Utility filing fee				1812	2,520	1812 2,520 For filing a request for ex parte reexamination	
1002 330 2002 165 Design filing fee				1804	920*	1804 920* Requesting publication of SIR prior to Examiner action	
1003 520 2003 260 Plant filing fee				1805	1,840*	1805 1,840* Requesting publication of SIR after Examiner action	
1004 750 2004 375 Reissue filing fee				1251	110	2251 55 Extension for reply within first month	
1005 160 2005 80 Provisional filing fee				1252	410	2252 205 Extension for reply within second month	
SUBTOTAL (1) (\$ 750)				1253	930	2253 465 Extension for reply within third month	
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE				1254	1,450	2254 725 Extension for reply within fourth month	
Total Claims 21 - 20 = 1 X 18 = 18				1255	1,970	2255 985 Extension for reply within fifth month	
Independent Claims 5 - 3 = 2 X 84 = 168				1401	320	2401 160 Notice of Appeal	
Multiple Dependent				1402	320	2402 160 Filing a brief in support of an appeal	
Extra Claims Fee from below Fee Paid				1403	280	2403 140 Request for oral hearing	
1404 1,510 1451 1,510 Petition to institute a public use proceeding				1451	1,510	1451 1,510 Petition to institute a public use proceeding	
1452 110 2452 55 Petition to revive - unavoidable				1452	110	2452 55 Petition to revive - unavoidable	
1453 1,300 2453 650 Petition to revive - unintentional				1453	1,300	2453 650 Petition to revive - unintentional	
1501 1,300 2501 650 Utility issue fee (or reissue)				1501	1,300	2501 650 Utility issue fee (or reissue)	
1502 470 2502 235 Design issue fee				1502	470	2502 235 Design issue fee	
1503 630 2503 315 Plant issue fee				1503	630	2503 315 Plant issue fee	
1460 130 1460 130 Petitions to the Commissioner				1460	130	1460 130 Petitions to the Commissioner	
1807 50 1807 50 Processing fee under 37 CFR 1.17(q)				1807	50	1807 50 Processing fee under 37 CFR 1.17(q)	
1806 180 1806 180 Submission of Information Disclosure Stmt				1806	180	1806 180 Submission of Information Disclosure Stmt	
8021 40 8021 40 Recording each patent assignment per property (times number of properties)				8021	40	8021 40 Recording each patent assignment per property (times number of properties)	
1809 750 2809 375 Filing a submission after final rejection (37 CFR 1.129(a))				1809	750	2809 375 Filing a submission after final rejection (37 CFR 1.129(a))	
1810 750 2810 375 For each additional invention to be examined (37 CFR 1.129(b))				1810	750	2810 375 For each additional invention to be examined (37 CFR 1.129(b))	
1801 750 2801 375 Request for Continued Examination (RCE)				1801	750	2801 375 Request for Continued Examination (RCE)	
1802 900 1802 900 Request for expedited examination of a design application				1802	900	1802 900 Request for expedited examination of a design application	
Other fee (specify)							
**or number previously paid, if greater; For Reissues, see above				*Reduced by Basic Filing Fee Paid			
				SUBTOTAL (3) (\$)			

SUBMITTED BY

(Complete if applicable)

Name (Print/Type)	Susan Yee	Registration No. (Attorney/Agent)	41,388	Telephone	650-812-3423
Signature	Susan Yee			Date	August 25, 2003

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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**NONPUBLICATION REQUEST
UNDER
35 U.S.C. 122(b)(2)(B)(i)**

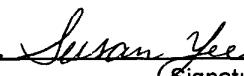
First Named Inventor	Lisa Macalka
Title	System and Method for Utilizing Proforma Processing of Adjustments...
Attorney Docket Number	PA2268US

I hereby certify that the invention disclosed in the attached application has not and will not be the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing.

I hereby request that the attached application not be published under 35 U.S.C. 122(b).

August 25, 2003

Date



Signature

650-812-3424

Telephone number

Susan Yee, Reg. No. 41,388

Typed or printed name

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application upon filing.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**

This collection of information is required by 37 CFR 1.213(a). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 6 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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